Multiple Major Survival Surgery - Policy

The Animal Welfare Act requires that no animal assigned to a proposal is to be used for more than one major survival operative procedure (9 CFR, Part 2, Section 2.31(d)(1)(x) and Animal Care Policy #14), unless the multiple procedures are included within one proposal, justified for scientific reasons by the Principal Investigator (PI), and approved by the IACUC. A second major survival surgical procedure may not be performed on the same animal under a separate proposal. Per Animal Care Policy #14, under special circumstances, the AWA allows for exemptions to this limitation.

A major survival surgical procedure is defined as one that penetrates and exposes a body cavity or produces a substantial impairment of physical or physiological function. The Guide (2011; p. 30, 117-118) additionally states that procedures should be determined as major or minor on a case–by-case basis with the veterinarian and IACUC when in question.

An animal needing to undergo an emergency major surgical procedure, as part of proper veterinary care, may still be used in a proposal that requires a major survival surgical procedure as a part of the approved research. There is no requirement for justification in these instances, and the PI and the University Attending Veterinarian should evaluate the suitability of such an animal for the proposed work prior to any procedures being initiated.

If an animal will need to undergo two or more major survival surgical procedures as a part of the proposed research, the following information is required for the IACUC to evaluate the proposal:

1. Scientific justification - the PI must provide an explanation and justification for the need to have animals undergo multiple major survival surgical procedures. Providing references can be of assistance to the IACUC in this evaluation.

   The description of the surgical procedure should include the total number of major survival surgical procedures any one animal will undergo, the frequency of such procedures, and the period of time between each surgical procedure.

2. Species - the species that will undergo this procedure must be clearly stated.

3. Number of animals - the specific number of animals that will undergo this manipulation must be clearly stated in the proposal, along with the justification for the group size selected.

4. Pain & Distress - the specific measures used to decrease pain and distress—including medications—as well as the monitoring frequency that will be used to ensure that pain/distress is minimized.

5. Surgery prior to acquisition - if a major, research-related surgery, is performed on an animal prior to OSU ownership or arrival into facilities, the PI must identify and justify those procedures in the ACUP. This includes surgery performed by commercial vendors.

The IACUC may require additional updates on animal well-being for these types of studies. These updates could include, but are not limited to, written requests for information, additional inspections, or requests for schedules so animals can be observed.

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